

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
SAN ANTONIO DIVISION**

**SECURITIES AND EXCHANGE
COMMISSION,**

Plaintiff,

-against-

**ROBERT J. MUELLER, DEEPROOT FUNDS
LLC (a/k/a dprt Funds, LLC), AND POLICY
SERVICES INC.,**

Defendants,

-and-

**DEEPROOT TECH LLC, DEEPROOT
PINBALL LLC, DEEPROOT STUDIOS LLC,
DEEPROOT SPORTS & ENTERTAINMENT
LLC, DEEPROOT RE 12621 SILICON DR LLC,
AND ROBERT J. MUELLER, JEFFREY L.
MUELLER, AND BELINDA G. BREEN, AS CO-
TRUSTEES OF THE MB HALE OHANA
REVOCABLE TRUST,**

Relief Defendants.

Civil Action No.: 5:21-cv-785-XR

**NOTICE REGARDING DEFENDANT ROBERT J. MUELLER'S
RULE 26(a)(3) PRETRIAL DISCLOSURES**

TO THE HONORABLE COURT:

The parties are continuing their settlement discussions. If those discussions are not successful, then pursuant to the Sixth Amended Scheduling Order (ECF No. 140), Defendant Robert J. Mueller hereby files this notice to identify his previously filed Rule 26(a)(3) Pretrial Disclosures (ECF No. 114) which is incorporated by reference and attached hereto as Exhibit A.

Dated: April 19, 2024.

Respectfully submitted,

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Counsel for Defendant Robert J. Mueller

CERTIFICATE OF SERVICE

I certify that on April 19, 2024, the foregoing document was electronically filed with the Clerk of the Court using the CM/ECF system and all counsel of record will receive an electronic copy via the Court's CM/ECF system.

/s/ Caroline Newman Small

Caroline Newman Small